

UTILITY PATENT APPLICATION TRANSMITTAL LETTER

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
NEG-201US

To the Assistant Commissioner for Patents:

Transmitted herewith for filing is the patent application of:

Tatsuya UCHIKATA

corresponding to Japanese application Nos. 2000-081332 and 2001-004904, filed March 23, 2000 and January 12, 2001, respectively,
entitled: ELECTRONIC TRANSACTION METHOD AND APPARATUS

Enclosed are:

- | | |
|-------------------------------------|--|
| <input checked="" type="checkbox"/> | 33 pages of specification. |
| <input checked="" type="checkbox"/> | 6 sheets of formal drawings. |
| <input checked="" type="checkbox"/> | a newly-executed declaration of the inventor. |
| <input type="checkbox"/> | a copy of an executed declaration of the inventor from prior application Serial No. , filed . |
| <input type="checkbox"/> | incorporation by reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied as indicated in the preceding box, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. |
| <input checked="" type="checkbox"/> | an assignment of the invention to NEC Corporation, including assignment cover sheet. |
| <input type="checkbox"/> | Information Disclosure Statement with Form PTO-1449. |
| <input type="checkbox"/> | copies of the Information Disclosure Statement citations. |
| <input type="checkbox"/> | preliminary amendment. |
| <input checked="" type="checkbox"/> | return receipt postcard (MPEP 503), specifically itemized. |
| <input type="checkbox"/> | applicant claims small entity status under 37 CFR 1.27. |
| <input checked="" type="checkbox"/> | (2) certified copies of Japanese Priority Documents. |
| <input checked="" type="checkbox"/> | other: Application Data Sheet. |

If a CONTINUING APPLICATION, check appropriate box and supply the requisite information.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. , filed .

- | | |
|-------------------------------------|--|
| <input checked="" type="checkbox"/> | Customer No. 000466. |
| <input checked="" type="checkbox"/> | Correspondence address is: YOUNG & THOMPSON, 745 South 23rd Street, Second Floor, Arlington, Virginia 22202. |
| <input checked="" type="checkbox"/> | Telephone: (703) 521-2297. Telefax: (703) 685-0573 or (703) 979-4709. |

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(continued)

Docket No.
NEG-201US**CLAIMS AS FILED**

	NO. FILED	NO. EXTRA	RATE	FEE
BASIC FEE			\$ 710	\$ 710
TOTAL CLAIMS	34 - 20 =	14	X\$ 18	252
INDEPENDENT CLAIMS	10 - 3 =	7	X\$ 80	560
MULTIPLE DEPENDENT CLAIM PRESENT			\$ 270	

TOTAL \$1522

if applicant claims small entity status under
37 CFR 1.27, then divide total fee by 2, and
enter amount here.

**SMALL ENTITY
TOTAL**

\$

☒

A check in the amount of \$1562 to cover the filing fee is enclosed.

☒

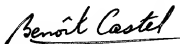
The Commissioner is hereby authorized to charge indicated fees and credit any over-
payments to Deposit Account No. 25-0120 in the name of Young & Thompson, as
described below. A duplicate copy of this sheet is enclosed.

☐

Charge the amount of \$ as filing fee.

☒

Credit any overpayment.

☒Charge any additional fee required under 37 CFR 1.16 and 1.17, during the
pendency of this application.☐Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of
Allowance.

Benoit Castel
Registration No. 35,041
745 South 23rd Street
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Telephone 703/521-2297

March 22, 2001

09813960-0322001